



Retrieve, Caption, Generate: Visual Grounding for Enhancing Commonsense in Text Generation Models

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What is Concept-to-Text Generation?

- ▶ Constrained text generation: produce natural language outputs under certain pre-conditions (e.g. particular words must appear in the outputs)
- ▶ Data-to-text NLG: produce natural language descriptions of structured or semi-structured data
- ▶ Common task formulation: set of inputs → natural language
 - ▶ Inputs can be thought of as concepts, e.g. higher-level words or structures that play an important role in the generated text
 - ▶ Think of these tasks as “concept-to-text generation”

Motivation for Visual Grounding

- ▶ Are there simple and effective approaches to improving performance on concept-to-text generation that comes from:
Visual grounding or multimodal information in images?
- ▶ Large pretrained NLP models still struggle with commonsense tasks that humans can reason through easily¹
- ▶ Hypothesis: commonsense information contained in modalities like vision beyond text that can be exploited
- ▶ VisCTG: Visually Grounded Concept-to-Text Generation

Generative Commonsense Reasoning

- ▶ AKA **CommonGen** task
- ▶ Generate logical sentences from given sets of input concepts
- ▶ Examples:
 - ▶ {horse, carriage, draw} → The carriage is drawn by the horse.
 - ▶ {listen, talk, sit} → The man told the boy to sit down and listen to him talk.



Figure 1: An example of the dataset of COMMONGEN. GPT-2, UniLM, BART and T5 are large pre-trained text generation models, *fine-tuned* on the proposed task.

Why CommonGen?

- ▶ Difficult instance of concept-to-text generation that assesses:
 1. Relational reasoning abilities using commonsense knowledge
 2. Compositional generalization capabilities to piece together different/unseen concept combos
- ▶ Broadly applicable and encompassing task formulation and evaluation methodology
- ▶ Growing interest in the commonsense capabilities of NLP models

Dataset Splits and Baseline Models

- Created new dev, test splits (dev_{CG} , test_{CG}) from original dev set (dev_{O}) since original test set (test_{O}) is hidden. Training set (train_{CG}) was unaltered

Stats	Train _{CG}	Dev _O	Test _O	Dev _{CG}	Test _{CG}
# concept sets	32,651	993	1,497	240	360
# sentences	67,389	4,018	7,644	984	1583

- Baselines: trained 4 seq2seq Transformer models: BART-base, BART-large, T5-base, T5-large. Performance exceeded original reported scores

Thorough Baseline Analysis – Qualitative Study (1)

- ▶ Many baseline generations contain following issues:
 1. Lack commonsense and logic
 1. Improper ordering/piecing of sentence segments
 - ▶ “**body of water on a raft**”
 2. Does not understand what certain nouns can/cannot do
 - ▶ “**A dog checking his phone** on a pier”

Thorough Baseline Analysis – Qualitative Study (2)

- ▶ Many baseline generations contain following issues:
 2. Not fluent or coherent, e.g. phrases and not full sentences
 3. Missing important words such as nouns
 - ▶ “A [?] listening music and dancing in a dark room”
 4. Generally generic and bland (dull response problem²)
 - ▶ “**Someone** sits and listens to **someone** talk”

Motivation for Images and Captions (1)

- ▶ Images representing everyday scenarios prevalent for diff. concept sets
- ▶ E.g. searching "{cow, horse, lasso} → images of cowboys riding horses and lassoing cows, unlike baseline generation of "A cow is lassoing a horse."
- ▶ Everyday images similar to those in captioning datasets like MSCOCO, so pretrained captioning models should work well
- ▶ Textual corpora suffer from "reporting bias"³
 - ▶ Everyday things underrepresented compared to "newsworthy" things
 - ▶ Bias can be possibly dampened using visual data and models

Motivation for Images and Captions (2)

<p><i>{stand, hold, umbrella, street}</i></p>  <p>baseline: A holds an umbrella while standing on the street capt: a woman walking down a street holding an umbrella VisCTG: A woman stands on a street holding an umbrella.</p>	<p><i>{food, eat, hand, bird}</i></p>  <p>baseline: hand of a bird eating food capt: a person holding a small bird in their hand VisCTG: A bird eats food from a hand.</p>
<p><i>{cat, bed, pet, lay}</i></p>  <p>baseline: A cat is laying on a bed and petting it. capt: a cat laying on a bed with a stuffed animal VisCTG: A cat laying on a bed being petted.</p>	<p><i>{fence, jump, horse, rider}</i></p>  <p>baseline: A rider jumps over a fence. capt: a horse is jumping over a wooden fence VisCTG: A rider jumps a fence on a horse.</p>

Image Retrieval and Captioning

- ▶ Retrieve images for the concept sets in our three dataset splits
- ▶ Search engine is more generalizable and can cover more concept sets
- ▶ Google Images performs better compared to Bing and DuckDuckGo
 - ▶ Many input keywords not included and homonyms not handled well
- ▶ PyTorch-based implementation⁴ of the FC image captioning model⁵
 - ▶ Image into deep CNN → caption generation via LSTM
 - ▶ Pretrained on the MSCOCO dataset with Resnet-101 image features

Caption Selection and Input Augmentation

- ▶ Captions $S_c = \{c_1, c_2, \dots, c_n\}$ for each concept set are sorted by descending coverage to the concept set to obtain $S_{c'} = \{c_1', c_2', \dots, c_n'\}$
- ▶ If two captions tied for coverage, kept in original order (by relevance)
- ▶ Retrieved images and captions cover fraction of concept set and quality varies
→ using multiple captions for generation may be better
- ▶ Try using different numbers of top captions within $S_{c'}$ – a parameter called Number of Top Captions (NTC); we try $NTC = 1, 2, 3, 5, 7, 10$
- ▶ Captions are used to augment the inputs to the models:
`{concept_set} <s> {caption_1} <s> {caption_2}`

Augmented Input → Final Generation
wave fall board surfer <s> a surfer riding a wave on a surfboard → A surfer is falling off his board into the waves.
dance stage front crowd <s> a crowd of people watching a man on a stage <s> a man is holding a microphone in front of a crowd → A man dances in front of a crowd on stage.
stand hold umbrella street <s> a woman walking down a street holding an umbrella <s> a woman walking down a street holding an umbrella <s> a girl holding a pink umbrella in a city <s> a man holding an umbrella in a city <s> a group of people standing under a umbrella → A group of people standing on a street holding umbrellas.

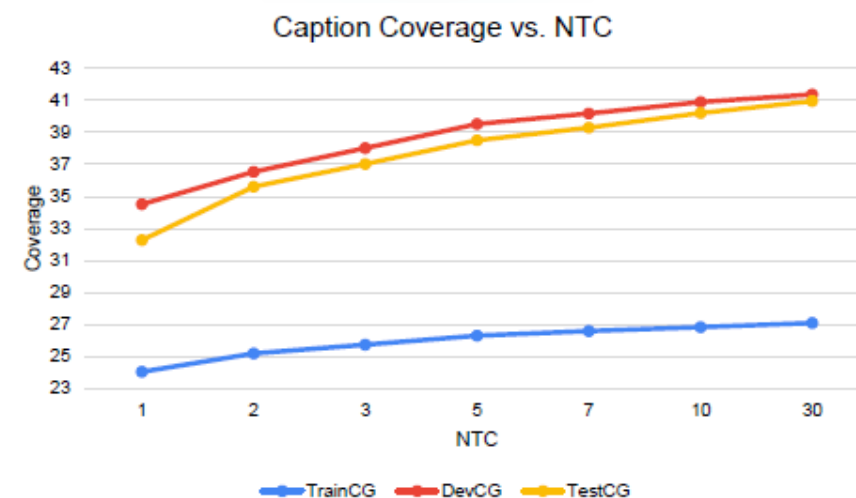


Figure 1: Graph displaying the average coverage (out of 100) by the top NTC captions in aggregate per concept set.

Experimental Setup

- ▶ Epochs with best ROUGE-2 score on the dev split are chosen for beam-search decoding on the test splits (test_{CG} and test_{O})
- ▶ NTC is a hyperparam; only best value per model is selected and reported
- ▶ Conduct two human evaluations: AMT and expert linguist
 - ▶ Pairwise comparison of VisCTG and baseline model outputs
 - ▶ AMT: choose which of the two has better "Overall Quality"
 - ▶ Expert linguist: "Overall Quality", "Commonsense Plausibility", and "Fluency"
 - ▶ Three options: O1 – VisCTG better, O2 – baseline better, O3 – both indistinguishable

Automatic Evaluation Results on test_{CG}

<u>Metrics</u>	BART-base ($NTC = 5$)			BART-large ($NTC = 2$)		
	Baseline	VisCTG	p-value	Baseline	VisCTG	p-value
ROUGE-1	43.96±0.03	45.44±0.08	1.58E-05	45.67±0.25	46.91±0.31	1.58E-05
ROUGE-2	17.31±0.02	19.15±0.21	1.58E-05	18.77±0.04	20.36±0.05	1.58E-05
ROUGE-L	36.65±0.00	38.43±0.07	1.58E-05	37.83±0.29	39.23±0.01	1.58E-05
BLEU-1	73.20±0.28	75.65±0.78	6.94E-05	74.45±0.21	78.80±0.28	6.94E-05
BLEU-2	54.50±0.14	59.05±0.07	6.94E-05	56.25±0.78	61.60±0.85	6.94E-05
BLEU-3	40.40±0.14	44.90±0.42	6.94E-05	42.15±0.49	47.00±0.71	6.94E-05
BLEU-4	30.10±0.14	34.10±0.57	3.82E-03	32.10±0.42	36.25±0.78	2.08E-04
METEOR	30.35±0.35	31.95±0.07	6.94E-05	31.70±0.14	34.00±0.14	6.94E-05
CIDEr	15.56±0.10	16.84±0.05	6.94E-05	16.42±0.09	18.35±0.13	6.94E-05
SPICE	30.05±0.07	31.80±0.28	6.94E-05	31.85±0.21	34.60±0.28	6.94E-05
BERTScore	59.19±0.32	61.44±0.02	1.58E-05	59.95±0.29	62.85±0.30	1.58E-05
Coverage	90.43±0.17	90.66±1.39	0.33*	94.49±0.53	96.49±0.24	1.58E-05
PPL	80.39±3.65	72.45±0.79	1.58E-05	80.37±4.51	68.46±5.90	1.58E-05

<u>Metrics</u>	T5-base ($NTC = 2$)			T5-large ($NTC = 1$)		
	Baseline	VisCTG	p-values	Baseline	VisCTG	p-values
ROUGE-1	44.63±0.13	46.26±0.07	1.58E-05	46.32±0.26	46.93±0.22	7.26E-04
ROUGE-2	18.40±0.14	19.78±0.30	1.58E-05	19.59±0.12	20.01±0.23	0.02
ROUGE-L	37.60±0.16	38.91±0.27	1.58E-05	39.20±0.21	39.52±0.43	0.06
BLEU-1	73.60±0.85	76.80±0.28	6.94E-05	77.55±0.35	78.65±0.21	4.65E-03
BLEU-2	57.00±0.71	60.30±0.28	6.94E-05	60.80±0.28	61.55±0.35	0.07
BLEU-3	42.75±0.49	46.25±0.64	6.94E-05	46.50±0.00	47.10±0.57	0.11*
BLEU-4	32.70±0.42	36.10±0.85	6.94E-05	36.20±0.14	36.40±0.28	0.21*
METEOR	31.05±0.49	32.70±0.00	6.94E-05	33.20±0.00	33.65±0.49	0.49*
CIDEr	16.26±0.25	17.65±0.02	6.94E-05	17.79±0.01	17.94±0.25	0.23*
SPICE	31.95±0.07	33.40±0.28	6.94E-05	33.90±0.42	34.55±0.21	0.03
BERTScore	61.40±0.34	62.42±0.17	1.58E-05	62.67±0.09	62.72±0.03	0.34*
Coverage	90.96±1.77	94.48±1.39	1.58E-05	94.40±0.02	95.95±0.45	1.58E-05
PPL	83.04±1.62	77.50±3.86	3.16E-05	81.78±4.63	73.41±4.32	1.58E-05

Trends of Automatic Metrics vs. NTC

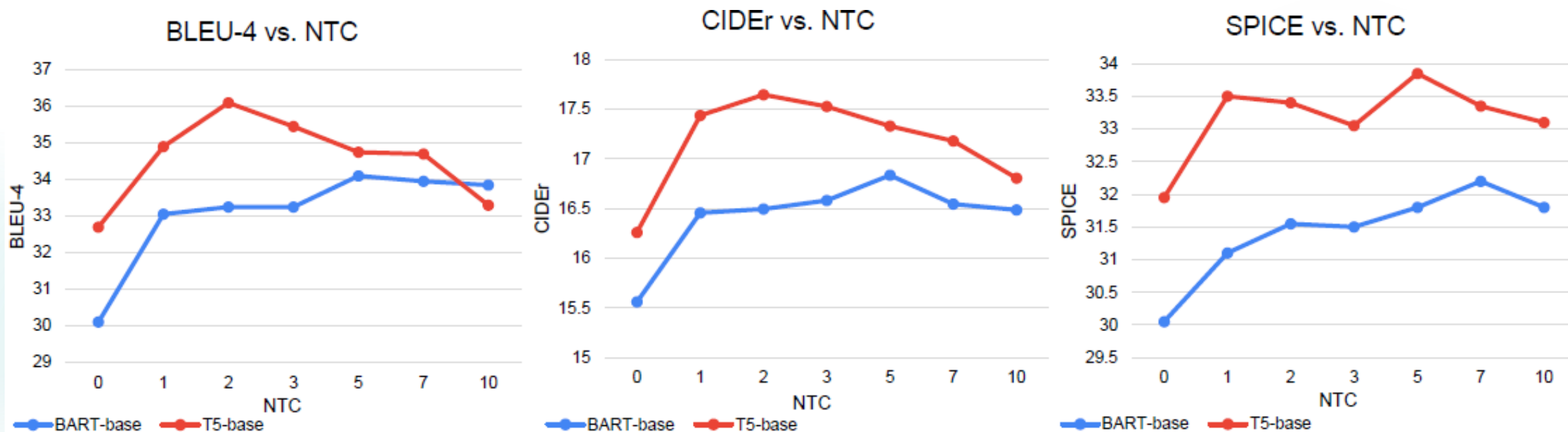


Figure 2: BLEU-4, CIDEr, and SPICE on test_{CG} over different values of NTC for BART-base and T5-base.

Human Evaluation Results on test_{CG}

<u>Model</u>	O1	O2	O3	IAA
BART-base	0.45	0.33	0.22	0.72
BART-large	0.62	0.18	0.20	0.55
T5-base	0.46	0.33	0.21	0.72
T5-large	0.46	0.34	0.20	0.74

Table 9: Avg. AMT eval results on test_{CG} for *overall quality*. O1: VisCTG wins, O2: baseline wins, O3: both indistinguishable. Bold corresponds to higher fractional outcome between O1 and O2. All results are statistically significant based on paired two-tailed t-tests and $\alpha = 0.1$. The inter-annotator agreement (IAA) is the average direct fractional agreement (where both annotators choose O1 or O2) over all examples. See §5.2 and Appendix D for further details.

<u>Model</u>	<u>Aspect</u>	O1	O2	O3
BART-large	Overall	0.44	0.24	0.32
	Commonsense	0.32	0	0.68
	Fluency	0.56	0.12	0.32

Table 10: Avg. expert linguist eval results on test_{CG} for BART-large. O1: VisCTG wins, O2: baseline wins, O3: both indistinguishable. Bold corresponds to higher fractional outcome between O1 and O2 per aspect. See §5.2 and Appendix D for further details.

Automatic Evaluation Results on test_o

Models\Metrics	ROUGE-2/L		BLEU-3/4		METEOR	CIDEr	SPICE	Coverage
T5-base (reported baseline)	14.63	34.56	28.76	18.54	23.94	9.40	19.87	76.67
T5-large (reported baseline)	21.74	42.75	43.01	31.96	31.12	15.13	28.86	95.29
BART-large (reported baseline)	22.02	41.78	39.52	29.01	31.83	13.98	28.00	97.35
EKI-BART (Fan et al. 2020)	-	-	-	35.945	-	16.999	29.583	-
KG-BART (Liu et al. 2021)	-	-	-	33.867	-	16.927	29.634	-
RE-T5 (Wang et al. 2021)	-	-	-	40.863	-	17.663	31.079	-
T5-base VisCTG	22.83	44.98	45.749	34.722	31.809	16.173	28.808	92.92
T5-large VisCTG	23.83	45.76	47.376	36.409	33.012	16.815	29.629	95.54
BART-base VisCTG	21.73	43.43	43.235	32.291	30.86	15.187	27.403	88.98
BART-large VisCTG	23.68	45.07	48.031	36.939	33.215	17.199	29.973	94.86

Analysis of Results

- ▶ Both automatic and human evaluation results show that VisCTG greatly outperforms the baselines across all metrics and models
- ▶ Most outperforming model is BART-large, which is why we ask the expert linguist to evaluate BART-large on three aspects
- ▶ BART-large VisCTG outperforms EKI-BART⁶ and KG-BART⁷, two SOTA published CommonGen models that use external knowledge
- ▶ BLEU-4, CIDEr, and SPICE increase to a peak NTC value and taper off

Qualitative Analysis

Concept Set	{sit, chair, toy, hand}
Captions	a little girl sitting on a chair with a teddy bear <s> a small child sitting on a chair with a teddy bear <s> a young boy sitting on a chair with a skateboard <s> a man sitting on a chair with a remote
Baseline	hands sitting on a chair
VisCTG	A boy sitting on a chair with a toy in his hand.

Concept Set	{jacket, wear, snow, walk}
Captions	a young boy in a red jacket is standing in the snow <s> a man in a red jacket is standing in the snow
Baseline	walking in the snow wearing a furry jacket
VisCTG	A man is walking in the snow wearing a jacket.

Concept Set	{rock, water, stand, body}
Captions	a bird sitting on a rock in a body of water
Baseline	a body of water standing on rocks
VisCTG	A man standing on a rock near a body of water.

Concept Set	{bench, bus, wait, sit}
Captions	a man sitting on a bench with a book <s> a person sitting on a bench with a laptop
Baseline	A bus sits on a bench.
VisCTG	A man sits on a bench waiting for a bus.

Concept Set	{hold, hand, stand, front}
Captions	a man holding a pair of scissors in front of a wall
Baseline	Someone stands in front of someone holding a hand.
VisCTG	A man stands in front of a man holding a hand.

Concept Set	{bag, put, apple, tree, pick}
Captions	a person holding a apple in a tree <s> a bunch of apples are growing on a tree
Baseline	A man is putting apples in a bag and picking them up from the tree.
VisCTG	A man puts a bag of apples on a tree.

Conclusion and Future Work

- ▶ Explored the use of visual grounding for improving the commonsense of Transformer models for concept-to-text generation, calling our method VisCTG: Visually Grounded Concept-to-Text Generation
- ▶ Showed its effectiveness on the CommonGen task using BART and T5
- ▶ Can improve image search and captioning, e.g. stronger captioning model or better selection of images during retrieval
- ▶ Can explore video captioning and image generation rather than retrieval
- ▶ Can investigate VisCTG for other NLG tasks such as WebNLG

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Thanks for Listening!



<https://github.com/styfeng/VisCTG>



<https://arxiv.org/abs/2109.03892>

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